

# BRIDGEND COUNTY BOROUGH COUNCIL

## REPORT TO AUDIT COMMITTEE

28<sup>th</sup> October 2010

### REPORT OF THE HEAD OF PROPERTY & FINANCE

#### AUDIT COMMITTEE – INTERNAL AUDIT'S MANAGEMENT INFORMATION SYSTEM (APACE)

#### 1. Purpose of Report.

1. To provide the Audit Committee with a demonstration of the newly implemented management information system for Internal Audit.

#### 2. Connection to Corporate Improvement Plan / Other Corporate Priority.

- 2.1. Internal Audit's work impacts on all of the Corporate Improvement Plan/other corporate priorities.

#### 3. Background

- 3.1. It is important for Internal Audit to plan effectively to ensure that they contribute to the Council's objectives at both strategic and operational levels. Planning enables Internal Audit to demonstrate both internally and externally that they are making best use of scarce resources.

- 3.2. Effective planning is one of the Standards contained within the Code of Practice for Internal Audit in Local Government in the United Kingdom 2006 and against which the external auditors assess the Authority. It is from this overall assessment of internal audit's annual programme of work and the contribution that this makes to the overall control environment of the Authority that the external auditors will draw the necessary assurances they need.

- 3.3. Internal Audit recently procured a management information system – Audit Planning and Control Environment (APACE) which is designed to provide for the full automation of all aspects of audit into one integrated piece of software, the key benefits of which are improved planning, better control and greater efficiency.

- 3.4. The Audit Committee at their meeting held on the 8<sup>th</sup> July requested that the Chief Internal Auditor provide a demonstration of the system to Members.

#### 4. Current situation / proposal

- 4.1. APACE has seven main processing divisions:-

- Staff Records,
- Time Records,
- Job Records,
- Recommendation details,

- Planning Model,
- Risk Matrix,
- Annual Plan.

4.2. A copy of the screens available within the APACE system together with a brief explanation will be distributed to Members at the Audit Committee meeting.

## **5. Effect upon Policy Framework & Procedure Rules.**

5.1. None

## **6. Equality Impact Assessment.**

6.1. There are no equality issues.

## **7. Financial Implications.**

7.1. None

## **8. Recommendation.**

8.1. That Members consider the appropriateness of the system

**Allan Phillips**  
**Head of Property & Finance**  
**12<sup>th</sup> October 2010**

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### **Background Documents**

None